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# Life Ascending: Mechanism and Process in Physiological Adaptation to High-Altitude Hypoxia

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## Keywords

adaptation, high elevation, hypoxia, oxygen cascade, phenotypic plasticity, physiology

## Abstract

To cope with the reduced availability of O<sub>2</sub> at high altitude, air-breathing vertebrates have evolved myriad adjustments in the cardiorespiratory system to match tissue O<sub>2</sub> delivery with metabolic O<sub>2</sub> demand. We explain how changes at interacting steps of the O<sub>2</sub> transport pathway contribute to plastic and evolved changes in whole-animal aerobic performance under hypoxia. In vertebrates native to high altitude, enhancements of aerobic performance under hypoxia are attributable to a combination of environmentally induced and evolved changes in multiple steps of the pathway. Additionally, evidence suggests that many high-altitude natives have evolved mechanisms for attenuating maladaptive acclimatization responses to hypoxia, resulting in counter-gradient patterns of altitudinal variation for key physiological phenotypes. For traits that exhibit counteracting environmental and genetic effects, evolved changes in phenotype may be cryptic under field conditions and can only be revealed by rearing representatives of high- and low-altitude populations under standardized environmental conditions to control for plasticity.

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## INTRODUCTION

A primary challenge for vertebrate life at high altitude is that the reduced partial pressure of O<sub>2</sub> (PO<sub>2</sub>) limits rates of aerobic metabolism, thereby compromising physiological performance capacities that affect survival and reproduction. To maintain an adequate level of energy turnover in the form of aerobic ATP (adenosine triphosphate) synthesis, the O<sub>2</sub> transport system needs to match O<sub>2</sub> supply (delivery of O<sub>2</sub> to the tissue mitochondria) with metabolic O<sub>2</sub> demand (chemical utilization of O<sub>2</sub> by oxidative phosphorylation in the electron transport chain). Thus, a reduction in the PO<sub>2</sub> of inspired air (hypoxia) requires respiratory and cardiovascular adjustments to minimize reductions in O<sub>2</sub> flux to the cells of respiring tissues (Ivy & Scott 2015; McClelland & Scott 2019; Scott 2011; Storz et al. 2010b, 2019) and/or metabolic adjustments to reduce O<sub>2</sub> demand (Ramirez et al. 2007). For endotherms living at high altitude, reducing systemic O<sub>2</sub> demand via metabolic suppression is not a viable long-term strategy for coping with chronic hypoxia owing to the energetic demands of thermoregulation, reproduction (including lactation in female mammals), and other exigencies of daily life (foraging, digging burrows, defending territories, escaping from predators, etc.). As high-altitude environments are also characterized by low ambient temperatures, endotherms are forced to increase metabolic heat production in spite of the reduced availability of O<sub>2</sub> to power aerobic thermogenesis. Thus, metabolic O<sub>2</sub> demands may be undiminished or even increased at high altitude. These considerations suggest a high premium on physiological adjustments that help sustain adequate flux through the O<sub>2</sub> transport system.

Mammals and birds that are native to high altitude exhibit a characteristic suite of differences in respiratory, cardiovascular, and metabolic traits relative to their lowland counterparts (Ivy & Scott 2015; McClelland & Scott 2019; Monge & León-Velarde 1991; Scott 2011; Scott et al. 2015b; Storz et al. 2010b, 2019). Insights into the nature of these phenotypic differences are important for understanding physiological mechanisms of acclimatization and adaptation to hypoxia. Observed phenotypic differences between high- and low-altitude populations also prompt a number of questions about evolutionary mechanisms. For example: What fraction of observed trait differences is attributable to evolved (genetically based) changes in phenotype, and what fraction is attributable to plasticity, during either adulthood (acclimatization) or development? Follow-up questions about the adaptive significance of observed trait differences are applicable to both genetic and environmentally induced changes in phenotype. In the case of genetically based trait differences, we want to know: Are evolved changes in phenotype attributable to a history of directional selection that favored different trait values at different altitudes? In the case of environmentally induced trait differences, we want to know: Is the plasticity adaptive (consistent with the beneficial acclimation hypothesis)? That is, did plasticity evolve via selection that favored the capacity to express different phenotypes in response to different environmental stimuli? Insights into the relative contributions of genetic and environmentally induced changes in hypoxia-responsive phenotypes—and the potential synergy or antagonism between them—are central to understanding mechanisms of physiological adaptation and the process by which adaptive phenotypes evolve (Storz et al. 2015).

Here, we present a synthesis of our current understanding of physiological mechanisms of hypoxia adaptation in high-altitude vertebrates. We start by presenting a conceptual framework for interpreting altitudinal patterns of variation in hypoxia-responsive physiological traits and for testing hypotheses about the causes of observed patterns. We explain why altitude-related patterns of trait differentiation can sometimes mislead inferences about the particular phenotypes favored by selection for hypoxia tolerance because genetic and environmental influences on the expression of hypoxia-responsive phenotypes may covary with altitude in opposite directions. We then describe empirical evidence for such patterns in natural populations of mammals (including

humans) and birds that are native to different elevational zones. We document a number of cases in which genetic and environmental effects on hypoxia-responsive physiological traits clearly work at cross-purposes, indicating that some acclimatization responses to environmental hypoxia are nonadaptive.

## PLASTICITY AND GENETIC ADAPTATION

In principle, physiological adjustments that contribute to hypoxia tolerance could represent environmentally induced changes (reversible or irreversible plasticity) and/or evolved, genetically based changes. Plasticity is adaptive if the phenotype expressed in response to a particular environmental change is in the same direction as the environment-specific optimum favored by selection. Depending on how closely the plastic response matches the phenotypic optimum, plasticity may even eliminate the opportunity for selection on genetically based trait variation.

When members of a species colonize a novel environment, plastic responses to newly encountered conditions are more likely to be adaptive if those conditions fall within the range of conditions experienced in the recent ancestry of the species. Conversely, plastic responses to novel environmental stimuli may be miscued and misdirected if the new conditions fall outside that range. In the context of high-altitude adaptation, the important point is that some plastic responses to environmental hypoxia may be nonadaptive in species with strictly lowland ancestries.

## ALTITUDINAL PATTERNING OF GENETIC AND ENVIRONMENTAL VARIATION IN HYPOXIA-RESPONSIVE PHENOTYPES

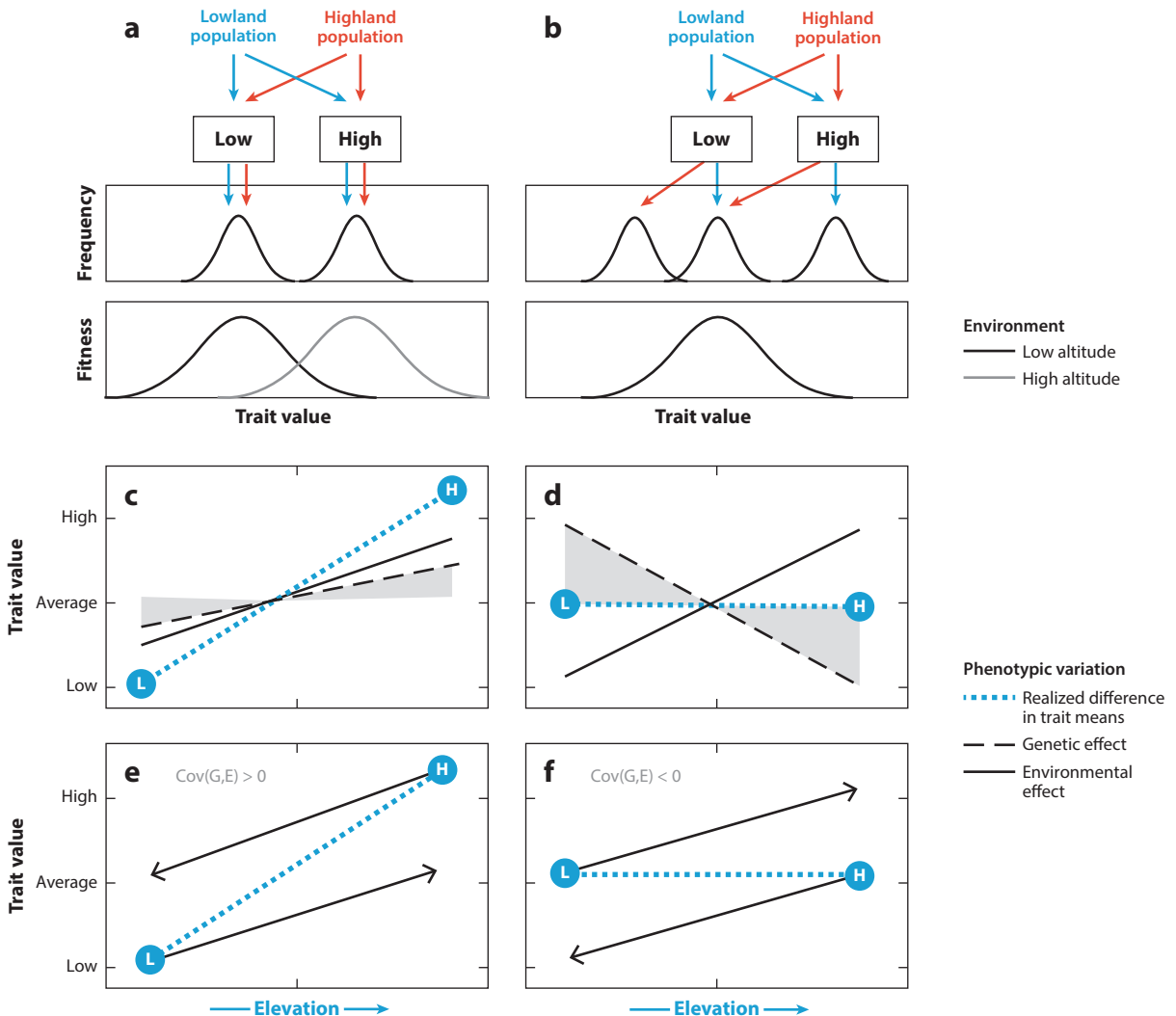
Consider the new selection pressures encountered by members of a low-altitude species that colonize a high-altitude environment. Due to the reduced  $PO_2$  at high altitude, the optimal value of some physiological phenotypes (e.g., pulmonary ventilation, heart rate, etc.) may be shifted relative to the value that is optimal at low altitude. Consequently, a hypoxia-induced change in phenotype in the high-altitude environment would be adaptive if it moved the mean trait value closer to the new optimum (**Figure 1a**). However, some physiological phenotypes may be characterized by a single global optimum that is independent of prevailing environmental conditions. In such cases, maintaining the physiological status quo may confer the greatest benefit upon ascent to high altitude, and hypoxia-induced changes in phenotype would be detrimental. When environmentally induced deviations from the global phenotypic optimum reduce fitness, selection on genetically based trait variation would be expected to counteract the plastic change, thereby restoring the ancestral phenotype (**Figure 1b**). This form of genetic compensation may occur whenever environmentally induced changes in phenotype shift the mean trait value away from the optimum in the new environment (Ghalambor et al. 2007, Grether 2005).

In species that are distributed across altitudinal gradients, insights into the causes of spatial patterns of trait differentiation require information about the genetic and environmental components of phenotypic variation. This is because genetic and environmental influences on a selected trait can covary across the gradient in a way that either accentuates or attenuates altitudinal differentiation in phenotype. Components of variance in values of a quantitative trait ( $V_P$ ) can be partitioned as:

$$V_P = V_G + V_E + V_{G \times E} + 2\text{Cov}(G, E),$$

where  $V_G$  and  $V_E$  represent the variance in trait values attributable to genetic and environmental effects, respectively;  $V_{G \times E}$  is the nonadditive interaction between genetic and environmental

effects; and  $\text{Cov}(G,E)$  is the covariance between genetic and environmental components of phenotypic variance (Falconer & Mackay 1996). In nonhuman animals, genetic and environmental components of trait variation can be disentangled by conducting genetic crosses or by standardizing rearing conditions, as in common garden or reciprocal transplant experiments. If variation in a given trait is exclusively genetic ( $V_E = 0$ ), plasticity makes no contribution to local adaptation, and the observed pattern of altitudinal variation reflects past evolutionary change via drift and/or selection. If variation in a trait is exclusively environmental ( $V_G = 0$ ), the observed pattern of altitudinal variation reflects the outcome of plastic responses to systematically varying environmental stimuli (e.g., altitudinal changes in  $PO_2$ ). The  $G \times E$  interaction term is nonzero if genotypes differ in their plastic response to a given environmental stimulus. The  $\text{Cov}(G,E)$  term is nonzero if genotypes that express different phenotypes are nonrandomly distributed across environments that influence the expression of those phenotypes (Falconer & Mackay 1996). For example, due



(Caption appears on following page)

**Figure 1** (Figure appears on preceding page)

Effects of plasticity and genetic compensation on patterns of phenotypic variation across environmental gradients. (*a,b*) Hypothetical relationships between environmentally induced phenotypes and fitness in different environments. Panel *a* shows an example of how adaptive plasticity matches the population mean trait value to the phenotypic optima of high- and low-altitude environments. The top graph shows the distribution of trait values expressed in each environment, and the bottom graph shows the relationship between phenotype and fitness. In this example, optimal trait values are different for each environment (fitness functions for the low- and high-altitude environments are depicted by *black* and *gray* curves, respectively). The arrow paths show the phenotypes that are expressed in the native and non-native environments of each population. Panel *b* shows an example of genetic compensation. When low-altitude natives are exposed to the high-altitude environment, an environmentally induced change in phenotype shifts the mean trait value away from the global optimum. In high-altitude natives, selection on genetically based trait variation has counteracted the plastic change, thereby restoring the ancestral phenotype (i.e., the same phenotype expressed by low-altitude natives in the ancestral low-altitude environment). In this particular example, genetic compensation shifts the trait mean back to the ancestral value without increasing canalization (the Y-intercept of the reaction norm is shifted, but the slope is unaltered). (*c,d*) Hypothetical examples of how the covariance of genetic and environmental effects on a trait influences the spatial patterning of phenotypic variation across an altitudinal gradient. In each panel, the blue dotted line depicts the change in trait value as a function of altitude. Environmental and genetic contributions to the altitudinal pattern of trait variation are depicted by solid and dashed lines, respectively. The shaded region depicts the magnitude of the evolved, genetically based change in phenotype. Panel *c* shows an example of cogradients in which a heritable trait exhibits adaptive plasticity (as in panel *a*), such that genetic and environmental effects are aligned in the same direction [Cov(G,E), the covariance between genetic and environmental components of phenotypic variance, is positive] and their joint influence accentuates the change in trait mean across the altitudinal gradient. Panel *d* shows an example of counter-gradient variation (resulting from genetic compensation, as in panel *b*) in which genetic and environmental effects counteract each other [Cov(G,E) is negative], so that identical phenotypes are expressed across the gradient in spite of underlying genotypic differentiation. The L and H symbols denote mean trait values for representative low- and high-altitude genotypes, respectively, measured in their native environments at opposite ends of the gradient. (*e,f*) Hypothetical outcomes of reciprocal transplant experiments showing the expression of L and H phenotypes in different environments (reaction norms). The end points of the lines show outcomes of reciprocal transplants (phenotypes expressed in the non-native environment). Panel *e* shows the reaction norms that would result in cogradients variation (as in panel *c*): Cov(G,E) is positive, so the genetic and environmental effects reinforce each other. Panel *f* shows the reaction norms that would result in counter-gradient variation (as in panel *d*): Cov(G,E) is negative, so the genetic and environmental effects counteract each other. These examples assume linear reaction norms and no  $G \times E$  interaction (i.e., no variation in slopes of the reaction norms).

to a history of spatially varying selection, genotypes that differ in sensitivity to a reduction in  $PO_2$  may be nonrandomly distributed with respect to altitude if hypoxia-induced phenotypes have different fitnesses in different altitudinal zones. The covariance term can be positive if the genetic and environmental effects reinforce each other or negative if they oppose each other (Falconer 1990).

Plasticity in a given trait can be considered adaptive if the environmentally induced change in phenotype is in the same direction as the genetically based changes in phenotype caused by selection. This adaptive plasticity would be reflected by a positive covariance between G and E across the altitudinal gradient. In this case, environmental and genetic influences on phenotype reinforce one another and accentuate the altitude-related change in mean trait value. The synergistic effects of genetic and environmental influences results in a cogradients pattern of altitudinal variation (**Figure 1c**). Conversely, plasticity can be considered maladaptive if the environmentally induced change in phenotype moves the trait mean farther from the optimum. In this case, environmental and genetic effects counteract each other (negative covariance between G and E), which would attenuate the altitude-related change in mean trait value. The antagonistic effects of genetic and environmental influences results in a counter-gradient pattern of altitudinal variation (Conover & Schultz 1995, Levins 1969) (**Figure 1d**).

In the scenario in which there exists a single phenotypic optimum and the plastic response to hypoxia produces a deviation from that optimum, selection will favor genotypes at high altitude that counteract the plastic response and express the ancestral phenotype (illustrated in **Figure 1b**). Thus, as a result of genetic compensation, high-altitude populations will be enriched for genotypes that exhibit a reduced sensitivity to the phenotype-altering environmental stimulus that is specific to the high-altitude environment (e.g., reduced  $PO_2$ ), or they will exhibit a blunted

response to the stimulus, so that the mean value for the plastic trait remains similar to that observed in the low-altitude population in the absence of the stimulus. The nonintuitive result is that spatially varying selection impedes phenotypic differentiation across the gradient even though different genotypes are favored in different elevational zones. This outcome is nonintuitive because we are accustomed to thinking that observed population differences in mean trait values provide a reliable guide as to which phenotypes are favored by selection in different environments.

For traits that exhibit a counter-gradient pattern of altitudinal variation, evolved changes are cryptic under field conditions and can only be revealed by rearing representatives of high- and low-altitude populations under standardized environmental conditions to control for plasticity. In the case of traits exhibiting a cogradient pattern of altitudinal variation (**Figure 1c**), a reciprocal transplant experiment involving representatives from high- and low-altitude populations would reveal altitude-related differences in mean phenotype consistent with what would be predicted by plasticity alone (**Figure 1e**). However, the magnitude of the altitudinal difference in trait values would exceed that produced by the plastic response owing to the additional genetic effect. In the case of a trait exhibiting a counter-gradient pattern of altitudinal variation (**Figure 1d**), the same reciprocal transplant would reveal genetically based differences in phenotype between high- and low-altitude natives that are not observed in the field owing to offsetting genetic and environmental effects (**Figure 1f**). Other patterns of partial genetic compensation or overcompensation are also possible (Conover & Schultz 1995, Conover et al. 2009). In such cases, the important point is that—owing to the negative covariance between genetic and environmental effects—the underlying genetic differentiation is greater than what would be predicted by the observed difference in phenotype. Moreover, in the case of partial compensation, the residual difference in phenotype revealed by reciprocal transplants may be in the opposite direction of what is locally favored by selection (Conover & Schultz 1995, Conover et al. 2009, Levins 1969), illustrating how a negative covariance between genetic and environmental effects can mislead inferences about the adaptive significance of trait differences observed in the field. For example, if members of a highland population have a higher mean value for a particular trait than lowland conspecifics, one possibility is that the observed difference is attributable to a history of directional selection that shifted the trait mean in the highlanders toward the local, habitat-specific optimum. However, if a hypoxia-responsive trait has the same global optimum at high and low altitudes, the higher observed value in highlanders may simply reflect the effects of selection that only partially offset the effects of environmentally induced deviations away from the global optimum. Without performing the appropriate common garden or reciprocal transplant experiments, the observed altitudinal difference in trait values could be misinterpreted as evidence for local selection that favored higher trait values in the high-altitude population when, in fact, it could be exactly the opposite, with selection favoring lower trait values to mitigate the maladaptive acclimatization response.

As discussed below, there are examples of physiological differences between high- and low-altitude vertebrates that appear to conform to both cogradient and counter-gradient patterns of trait variation, suggesting that we need to account for the interplay between genetic and environmental effects to make correct inferences about hypoxia adaptation. Before exploring these specific examples, we first review general principles of physiological adaptation to high-altitude hypoxia.

## PHYSIOLOGY OF HIGH-ALTITUDE ADAPTATION

### Whole-Animal Aerobic Performance and Metabolism

Measures of whole-animal aerobic performance represent an obvious point of focus in studies of high-altitude adaptation (McClelland & Scott 2019, Storz et al. 2019). In endotherms, many

ecologically relevant measures of physiological performance, such as the capacity for sustained exercise and the ability to be active in the cold, are directly related to the rate of aerobic metabolism, which can be measured as the rate of  $O_2$  consumption ( $\dot{V}O_2$ ). The maximum metabolic rate (MMR) (measured by the maximal rate of  $O_2$  consumption,  $\dot{V}O_{2max}$ ) can be elicited by challenging an animal's aerobic capacity via forced exercise or acute cold exposure. In response to cold exposure, homeothermic endotherms maintain a constant body temperature by increasing metabolic heat production (thermogenesis) fueled by aerobic metabolism. If the cold stress is sufficiently severe, it will elicit the maximal rate of heat production, which—because it is fueled by aerobic metabolism—is reflected by the maximal rate of  $O_2$  consumption (also referred to as summit metabolism). In endotherms living at high altitude, performance measures such as exercise- or cold-induced  $\dot{V}O_{2max}$  can be expected to provide strong, causal links between integrated physiological capacities and Darwinian fitness (Hayes & O'Connor 1999, Storz et al. 2019).

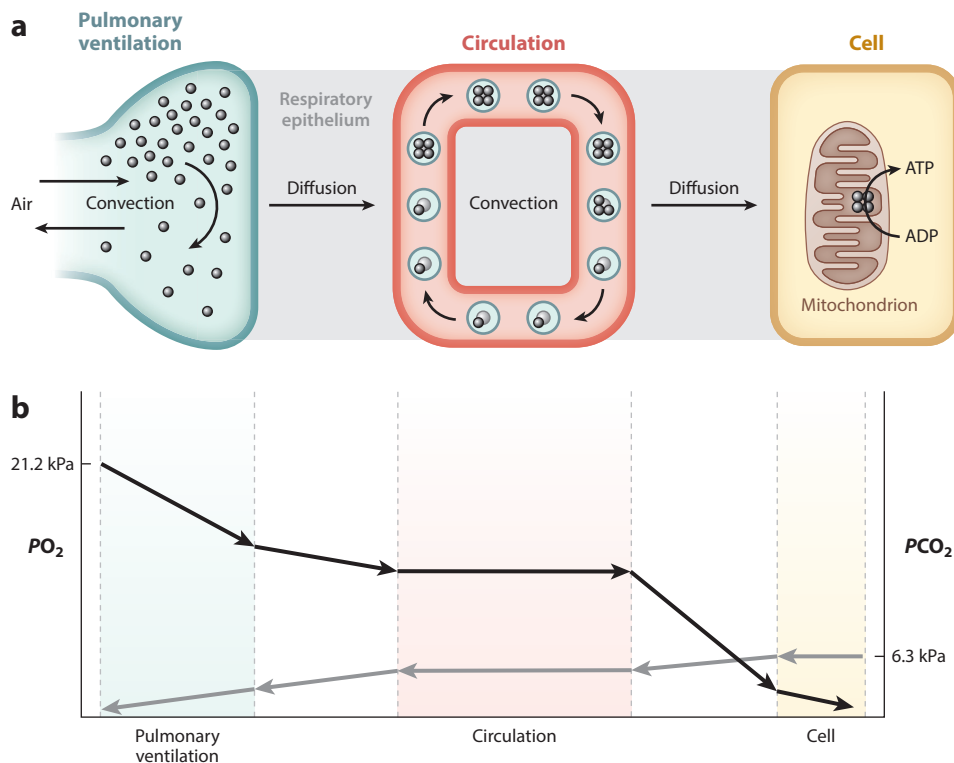
Whereas MMR sets the ceiling for aerobic metabolism, and thus the capacity for many high-intensity aerobic performance traits, routine metabolism reflects the energy demands of an animal over longer periods of submaximal activity. Average daily metabolic rates (also called field metabolic rates in free-ranging animals) can be measured using various techniques (e.g., isotopic tracers such as doubly labeled water or heart rate telemetry) to reveal routine energy requirements under natural conditions. Such requirements may be significant in small endotherms living at high altitude due to the elevated metabolic costs of thermoregulation (Hayes 1989) and may therefore impose severe constraints on energy allocation for growth and reproduction.

## **$O_2$ Transport and Utilization Pathways**

The aerobic metabolism of an organism is determined by the rate at which tissue mitochondria consume  $O_2$  in the process of oxidative phosphorylation, which requires that  $O_2$  be transported to mitochondria via several interacting transport steps in the cardiorespiratory system (**Figure 2**).  $O_2$  from inspired air diffuses from the lungs into arterial blood and is transported convectively by the blood to the tissues, where it then diffuses into the cells of metabolizing tissues and is used for respiration by mitochondria. The  $O_2$  transport pathway involves five transfer steps that are arranged in series from inspired air to the tissue mitochondria (**Figure 2a**): (a) pulmonary ventilation, the convective movement of inspired air into the lungs; (b) pulmonary  $O_2$  diffusion, the diffusion of  $O_2$  across the air–blood interface; (c) circulatory  $O_2$  delivery, the convective movement of arterial blood to the capillaries of perfused tissues [with nearly all  $O_2$  chemically bound to hemoglobin (Hb) in red blood cells]; (d) tissue  $O_2$  diffusion, the diffusion of  $O_2$  from capillary blood to the mitochondria of tissue cells; and (e) mitochondrial  $O_2$  utilization, the consumption of  $O_2$  that generates ATP via oxidative phosphorylation. Differences in  $PO_2$  provide the driving force for  $O_2$  movement across each of the diffusive steps and account for the overall pressure gradient between the ambient  $PO_2$  of the outside air and the  $PO_2$  in the mitochondria (**Figure 2b**). A reverse multistep pathway exists for the transport of  $CO_2$  from the cells, where it is produced as a byproduct of oxidation, to the lungs, where it is expired (**Figure 2b**). In principle, plasticity or adaptation to hypoxia could involve modifications to the flux capacity of multiple convective or diffusive steps in the  $O_2$  transport pathway, or changes in the dynamic regulation of the cardiorespiratory system in response to changes in metabolism or blood  $O_2$  levels (Ivy & Scott 2015, McClelland & Scott 2019, Storz et al. 2010b).

Experimental analysis of adaptive changes in the  $O_2$  transport pathway can be used to address a number of key evolutionary questions: To what extent do interacting and integrated traits (e.g., steps in the pathway) evolve in concert? Are changes in some traits more likely to constitute the first steps in hypoxia adaptation? Are some traits particularly influential, acting as a limiting factor





**Figure 2**

The O<sub>2</sub> and CO<sub>2</sub> transport cascades. (a) Diagrammatic representation of compartments of gas transport in the mammalian cardiorespiratory system. O<sub>2</sub> is transported from atmospheric air to the tissue mitochondria along a pathway with several diffusive and convective steps: pulmonary ventilation, pulmonary O<sub>2</sub> diffusion, circulatory O<sub>2</sub> delivery, tissue O<sub>2</sub> diffusion, and mitochondrial O<sub>2</sub> utilization. Hb is schematically depicted as a tetrameric (four-subunit) protein that reversibly binds up to four O<sub>2</sub> molecules. (b) Diffusive O<sub>2</sub> flux is driven by gradients in PO<sub>2</sub>, resulting in declines in PO<sub>2</sub> from one compartment to the next. Blood PO<sub>2</sub> declines from the afferent (arterial) inlet of the tissue capillary bed to the efferent (venous) outlet as Hb unloads O<sub>2</sub> to the perfused tissue. PO<sub>2</sub> also declines with distance from the capillaries, so a gradient of cellular PO<sub>2</sub> should reflect variation in capillary PO<sub>2</sub> and diffusion distance. An equivalent multistep pathway exists for the transport of CO<sub>2</sub> in the reverse direction. Abbreviations: ADP, adenosine diphosphate; ATP, adenosine triphosphate; Hb, hemoglobin; PO<sub>2</sub>, partial pressure of O<sub>2</sub>.

in adaptation? Do evolved changes in some traits alter the adaptive value of possible changes in other traits? Such questions could be profitably addressed using artificial selection experiments or comparative analyses of closely related taxa that have different durations of residency at high altitude.

## RELATIVE CONTRIBUTIONS AND PHYSIOLOGICAL UNDERPINNINGS OF PLASTIC AND EVOLVED CHANGES IN AEROBIC PERFORMANCE AND METABOLISM

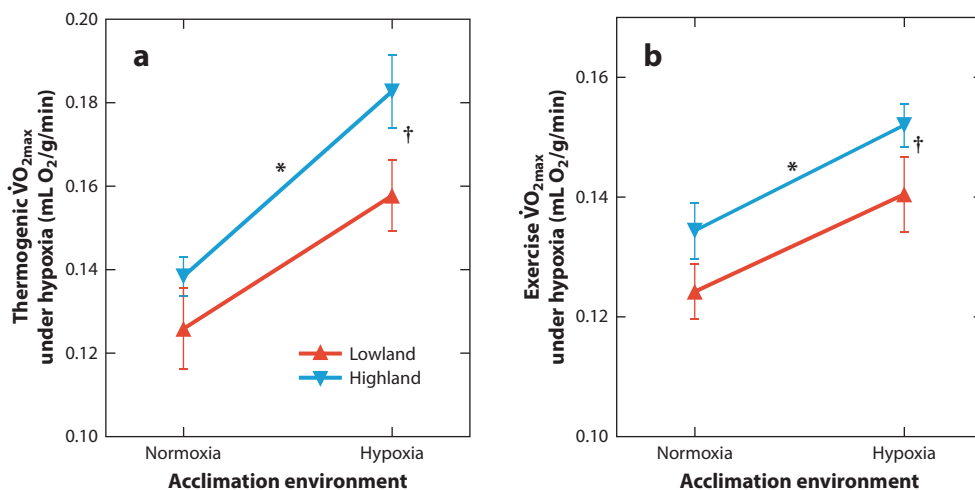
Decades of research on the physiology of hypoxia tolerance in vertebrates has revealed altitude-related changes in aerobic performance and in the underlying subordinate traits that govern flux through the O<sub>2</sub> transport pathway. Here, we discuss evidence that both plastic and evolved changes

in these various traits contribute to the unique phenotype of high-altitude natives. We do not discuss altitude-related changes in the transport and utilization of metabolic substrates (carbohydrates, lipids, etc.); instead, we direct the reader to other recent reviews on the subject (McClelland & Scott 2019).

### Cogradient Patterns of Altitudinal Variation in $\dot{V}O_{2\max}$

Several high-altitude taxa have a higher capacity for aerobic performance under hypoxia than their low-altitude counterparts. In humans (Brutsaert 2016, Brutsaert et al. 2003), deer mice (*Peromyscus maniculatus*) (Cheviron et al. 2012, 2013, 2014; Lui et al. 2015; Tate et al. 2017), and leaf-eared mice (*Phyllotis xanthopygus*) (Schippers et al. 2012), high-altitude natives exhibit a higher  $\dot{V}O_{2\max}$  than low-altitude natives under hypoxia, but the performance differences are not necessarily manifest at the  $PO_2$  that is typical at sea level (normoxia). These findings are consistent with the expectation that it is beneficial to have a higher  $\dot{V}O_{2\max}$  to support aerobic thermogenesis and/or exercise in environments that are characterized by low  $PO_2$  and low ambient temperature. In many cases, it is not clear whether such high-altitude phenotypes represent evolved, genetically based adaptations or the outcome of acclimatization to hypoxia (Brutsaert 2016). However, our recent research using common garden experiments with deer mice from high and low altitudes has revealed the importance of both plastic and evolved increases in aerobic thermogenic capacity and exercise capacity under hypoxia (Cheviron et al. 2012, 2013, 2014; Lui et al. 2015; Tate et al. 2017) (**Figure 3**).

What are the contributions of plastic and evolved changes in the  $O_2$  transport pathway to altitudinal variation in  $\dot{V}O_{2\max}$ ? In both humans and nonhuman animals, a long history of research has investigated the determinants of  $\dot{V}O_{2\max}$  under normoxia. Some have argued that convective transport of  $O_2$  in the circulatory system sets limits on normoxic  $\dot{V}O_{2\max}$  because it dictates the



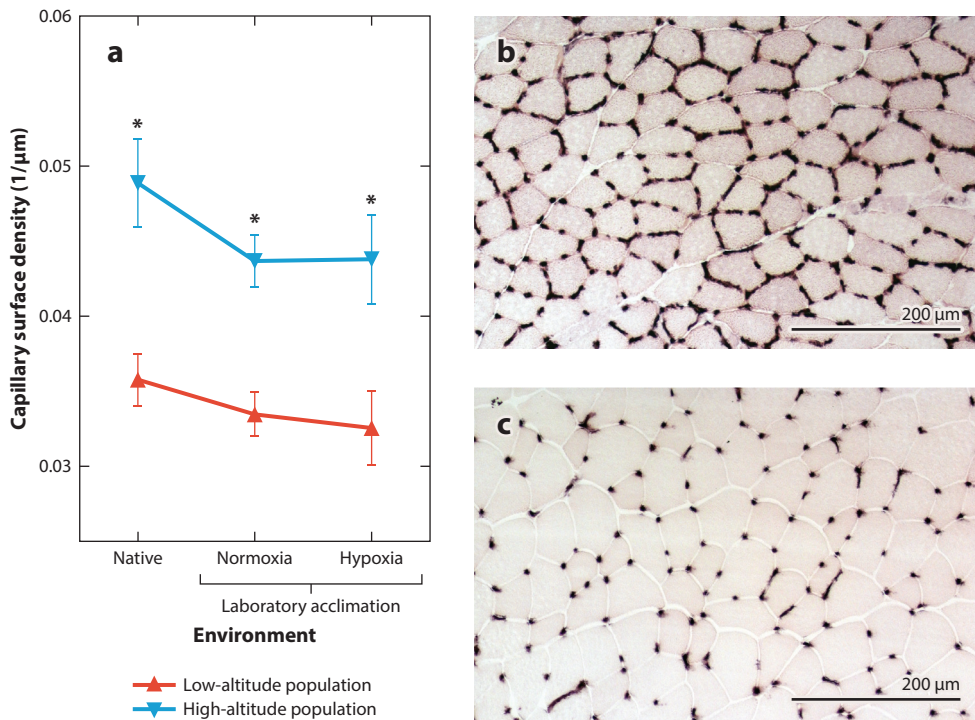
**Figure 3**

High-altitude deer mice (*Peromyscus maniculatus*) have higher aerobic capacities under hypoxia in comparison with lowland conspecifics. Performance capacities under hypoxia were measured as the maximal rate of  $O_2$  consumption ( $\dot{V}O_{2\max}$ ) during thermogenesis or exercise. The absolute  $\dot{V}O_{2\max}$  data (in units of mL/min) were corrected for body mass using a residual approach (see Lui et al. 2015 and Tate et al. 2017 for details) and are here divided by the body mass of an average-sized mouse (data represent means  $\pm$  SE). Significant main effects of native elevation and hypoxia acclimation are denoted by \* and †, respectively, in two-way analysis of variance ( $P < 0.05$ ).

rate of  $O_2$  delivery to metabolizing tissues (Bassett & Howley 2000). Others have argued that the capacities of all steps in the  $O_2$  transport pathway are matched, such that each step exerts a similar degree of control over normoxic  $\dot{V}O_{2max}$  (Weibel et al. 1991). Theoretical principles of gas exchange have been used to model the cardiorespiratory system in several species, and this work has suggested that increases in the capacity of individual steps in the  $O_2$  transport pathway can increase  $\dot{V}O_{2max}$  (Scott & Milsom 2006, 2009; Wagner 1996). However, steps can differ in their relative influence over  $\dot{V}O_{2max}$ , and the capacity for  $O_2$  diffusion from capillary blood to the mitochondria has the greatest influence in both normoxia and hypoxia (Scott & Milsom 2006, 2009; Wagner 1996). Consistent with this theoretical expectation, artificial selection for increased running endurance in rats revealed that increases in  $\dot{V}O_{2max}$  after 7 generations could be entirely explained by increases in the capacity of the locomotory muscle for  $O_2$  diffusion and mitochondrial  $O_2$  utilization, without any changes in circulatory  $O_2$  delivery, pulmonary function, or ventilation (Henderson et al. 2002, Howlett et al. 2009). Continued increases in  $\dot{V}O_{2max}$  after 15 generations of selection was attributable to further changes in skeletal muscle, in addition to increases in the convective pumping of blood by the heart (i.e., increases in cardiac output) that increased circulatory  $O_2$  delivery to the tissues and to increases in pulmonary ventilation and pulmonary  $O_2$  diffusing capacity (Gonzalez et al. 2006, Howlett et al. 2009, Kirkton et al. 2009). These results indicate that modest initial improvements in  $\dot{V}O_{2max}$  can arise from changes at a single step in the  $O_2$  transport pathway, but continued enhancement of  $\dot{V}O_{2max}$  requires additional changes in other steps.

Results of these theoretical and empirical studies suggest that increases in  $\dot{V}O_{2max}$  in high-altitude natives should result from increases in the capacity for  $O_2$  diffusion and mitochondrial utilization in the tissues that are active during locomotion and/or thermogenesis (i.e., skeletal muscles and brown adipose tissue) and may also be associated with increases in the capacities for circulatory and pulmonary  $O_2$  transport. However, the theoretical studies also suggest that the relative influence of different steps can change in hypoxic versus normoxic conditions, with pulmonary  $O_2$  transport exerting a greater influence over  $\dot{V}O_{2max}$  under hypoxia (Scott & Milsom 2006, Wagner 1996). Thus, the physiological mechanisms that are responsible for enhancements of hypoxic  $\dot{V}O_{2max}$  in high-altitude natives may be somewhat distinct from those that are responsible for enhancements of  $\dot{V}O_{2max}$  under normoxia. The remainder of this section addresses how changes in the capacity of each step of the  $O_2$  transport pathway may contribute to plastic and evolved changes in  $\dot{V}O_{2max}$  in high-altitude natives. The findings suggest that cogradient variation in  $\dot{V}O_{2max}$  arises from both environmentally induced changes and genetically fixed, evolved changes in multiple steps of the pathway. There does not appear to be a single limiting factor in high-altitude adaptation, but rather a series of integrated physiological changes that jointly contribute to enhancements of  $O_2$  transport.

The capacity for mitochondrial  $O_2$  utilization in locomotory muscles is elevated in many species that sustain intense metabolic activity at high altitude. For example, high-altitude deer mice have evolved a greater mitochondrial respiratory capacity in the gastrocnemius muscle (Mahalingam et al. 2017)—a large hindlimb muscle used for both locomotion and shivering thermogenesis (Günther et al. 1983, Pearson et al. 2005)—and this difference is largely attributable to increases in the proportional abundance of oxidative (mitochondrion-rich) fiber types (Lui et al. 2015, Scott et al. 2015a) and to increases in mitochondrial abundance within these oxidative fibers (Mahalingam et al. 2017). Similarly, bar-headed geese (*Anser indicus*), a species that flies at high altitude during its biannual migration across the Himalayas, and high-altitude torrent ducks (*Merganetta armata*) have greater mitochondrial respiratory capacity in locomotory muscles (Dawson et al. 2016; Scott et al. 2009a,b). In deer mice, the various determinants of mitochondrial respiratory capacity in the gastrocnemius do not change in response to chronic hypoxia, either during adulthood or during early development (Lui et al. 2015, Mahalingam et al. 2017, Nikel et al. 2017),



**Figure 4**

High-altitude deer mice have evolved a fixed increase in the capillarity of the gastrocnemius muscle.

(a) Experimental tests revealed a significant main effect of population ( $F_{1,70} = 41.15$ ,  $P < 0.0001$ ) on capillary surface density ( $\mu\text{m}$  of capillary surface per  $\mu\text{m}^2$  of transverse muscle area) but no significant main effect of environment ( $F_{2,70} = 1.97$ ,  $P = 0.147$ ) or population  $\times$  environment interaction ( $F_{2,70} = 0.24$ ,  $P = 0.789$ ). Data are from Lui et al. (2015) and Scott et al. (2015a). Two-factor analysis of variance with Bonferroni posttests were used for statistical comparisons, and \* represents a significant pairwise difference between populations within an environment ( $P < 0.05$ ). (b,c) Representative images of capillary staining using alkaline phosphatase activity in wild-caught highland (b) and lowland (c) deer mice, each shown at the same scale.

suggesting that the muscle's enhanced capacity for mitochondrial  $\text{O}_2$  utilization is attributable to genetically fixed, evolved changes. However, human high-altitude natives (who, unlike other highland mammals and birds, do not sustain especially high metabolic rates at high altitude) have not evolved a greater capacity for mitochondrial  $\text{O}_2$  utilization in locomotory muscles (Horscroft et al. 2017; Kayser et al. 1991, 1996). Instead, changes at other steps in the  $\text{O}_2$  transport pathway appear to contribute to the increased  $\dot{\text{V}}\text{O}_{2\text{max}}$  under hypoxia (Gilbert-Kawai et al. 2014).

The capacity for  $\text{O}_2$  diffusion into skeletal muscles is also augmented in many high-altitude natives. Studies of mammals and birds suggest that native highlanders tend to have greater capillarity in the skeletal muscle than lowlanders when compared in their native environments (Dawson et al. 2018, Kayser et al. 1991, León-Velarde et al. 1993, Mathieu-Costello et al. 1998, Scott et al. 2015a). In some cases, these differences in muscle capillarity persist in comparisons between highlanders and lowlanders reared in the same environments (Lui et al. 2015, Scott et al. 2009a), suggesting that the differences have an evolved genetic basis. Some high-altitude natives have also evolved higher muscle myoglobin content (Lechner 1977), and the mitochondria in their muscle cells are situated closer to capillaries, thereby reducing intracellular  $\text{O}_2$  diffusion distances (Mahalingam et al. 2017, Scott et al. 2009a). In deer mice, increases in capillarity (Figure 4) and  $\text{O}_2$  transport in

the gastrocnemius muscle are insensitive to chronic hypoxia exposure (Lui et al. 2015, Mahalingam et al. 2017, Nikel et al. 2017) and may thus represent another example of an evolved change in the O<sub>2</sub> transport pathway that helps augment aerobic capacity. The mechanisms underlying enhancements of O<sub>2</sub> diffusion capacity and mitochondrial O<sub>2</sub> utilization in skeletal muscle are not entirely clear, but in high-altitude deer mice, they are associated with differences in the expression of genes involved in energy metabolism, muscle development, and vascular development (Cheviron et al. 2012, 2014; Scott et al. 2015a).

The capacity for circulatory O<sub>2</sub> delivery also appears to be enhanced in high-altitude natives. Circulatory O<sub>2</sub> delivery is determined by cardiac output (the flow rate of blood that is pumped by the heart) and the O<sub>2</sub> content of arterial blood. The latter is determined by both the quantity of Hb (its concentration in the blood) and the quality of Hb (its oxygenation properties). Some evidence suggests that high-altitude natives can sustain higher cardiac outputs in hypoxia than their low-altitude counterparts. For example, Tibetan humans achieve higher maximal cardiac outputs during exercise in hypoxia than Han Chinese lowlanders, even after prolonged acclimatization at high altitude (Chen et al. 1997, Ge et al. 1995). High-altitude deer mice may also be capable of higher cardiac output during hypoxic  $\dot{V}O_{2\max}$  (Tate et al. 2017). In contrast, the Hb content of the blood is often lower in highlanders than in lowlanders after exposure to chronic hypoxia, such that a counter-gradient pattern of altitudinal variation in blood Hb content frequently occurs (discussed in the next section).

The capacity for circulatory O<sub>2</sub> delivery also appears to be enhanced in high-altitude natives by fine-tuned shifts in Hb-O<sub>2</sub> affinity that increase arterial O<sub>2</sub> saturation, thereby compensating for the reduced arterial *PO*<sub>2</sub>. Such shifts can be mediated by changes in intrinsic Hb-O<sub>2</sub> affinity, changes in the responsiveness of Hb to the effects of allosteric cofactors in the red cell (such as H<sup>+</sup>, CO<sub>2</sub>, Cl<sup>-</sup> ions, and organic phosphates), or changes in the cellular concentrations of such cofactors (Storz 2016, 2019). Comparative studies have provided strong evidence that evolved increases in Hb-O<sub>2</sub> affinity have contributed to hypoxia adaptation in a diverse range of mammals and birds (Galen et al. 2015; Natarajan et al. 2015b, 2016, 2018; Projecto-Garcia et al. 2013; Signore et al. 2019; Storz et al. 2010a; Tufts et al. 2015; Zhu et al. 2018). For example, high-altitude deer mice have evolved an increased Hb-O<sub>2</sub> affinity, via both increased intrinsic O<sub>2</sub> affinity and suppressed sensitivity to allosteric cofactors (Jensen et al. 2016; Natarajan et al. 2013, 2015a; Storz et al. 2009, 2010a), and arterial O<sub>2</sub> saturation in hypoxia is also higher than in low-altitude conspecifics (Tate et al. 2017). Overall, increased capacities for circulatory O<sub>2</sub> delivery in high-altitude natives are attributable to both plastic and evolved increases in maximal cardiac output as well as evolved increases in Hb-O<sub>2</sub> affinity that enhance arterial O<sub>2</sub> saturation.

The capacity for O<sub>2</sub> diffusion across the air-blood interface in the lungs is enhanced in many high-altitude taxa. By augmenting O<sub>2</sub> uptake into the blood, increases in the O<sub>2</sub> diffusing capacity of the lungs can also help increase arterial O<sub>2</sub> saturation under hypoxia. The morphological diffusing capacity of the lungs appears to be augmented in high-altitude mammals and birds as a result of increases in total lung volume and/or in alveolar surface area (Brutsaert 2016, Maina et al. 2017, Pearson & Pearson 1976). Some of these differences can be explained by plasticity, because hypoxia exposure in early life (Burri & Weibel 1971; Hsia et al. 2005, 2007) and during adulthood (Dane et al. 2018) can induce lung growth and/or remodeling of existing lung structure. Whether evolved changes in lung structure also help augment O<sub>2</sub> diffusing capacity in high-altitude natives is unclear, with some studies showing evidence for genetically based changes in the lungs (Scott et al. 2011, York et al. 2018) and others showing that altitudinal variation can be completely explained by environmental effects (Brutsaert 2016, Lechner 1977). Therefore, hypoxia-induced plasticity can augment O<sub>2</sub> diffusing capacity of the lungs, but controlled common garden

experiments are still needed to determine the relative importance of evolved changes in lung structure and gas exchange efficiency in high-altitude natives.

In contrast to other steps in the O<sub>2</sub> transport pathway, little evidence exists for increases in pulmonary ventilation at  $\dot{V}O_{2\max}$  in high-altitude humans or other vertebrates. For example, in high-altitude Tibetan and Andean natives, total ventilation is lower during exercise than in representatives of low-altitude groups, even when the lowlanders are well acclimatized to high altitude (Wagner et al. 2002, Zhuang et al. 1996). These studies revealed that the lungs of high-altitude natives have much greater gas exchange efficiencies due to their higher O<sub>2</sub> diffusing capacities, offsetting the effects of lower ventilation rates to help maintain  $\dot{V}O_{2\max}$  (Wagner et al. 2002, Zhuang et al. 1996). Many additional studies of high-altitude natives report lower absolute ventilation and a lower air convection requirement (quotient of total ventilation and  $\dot{V}O_2$ ) during exercise (Brutsaert 2016), for which there appear to be contributions of both developmental plasticity and genetically based changes unique to highland natives (Brutsaert et al. 2000, 2005). It is likely that pulmonary uptake of O<sub>2</sub> from air into the blood is sufficiently enhanced by other changes (e.g., increases in lung O<sub>2</sub> diffusing capacity) so that highlanders can breathe less and thus divert some of the O<sub>2</sub> that would have been needed to support the metabolic activity of breathing muscles to other tissues. Lower rates of pulmonary ventilation may also reduce respiratory water loss and minimize perturbations of blood CO<sub>2</sub>/pH homeostasis (Powell 2007, Zhuang et al. 1996). Nevertheless, apart from these findings in high-altitude humans, we know very little about whether increases in pulmonary ventilation contribute to enhancements of  $\dot{V}O_{2\max}$  in other high-altitude taxa.

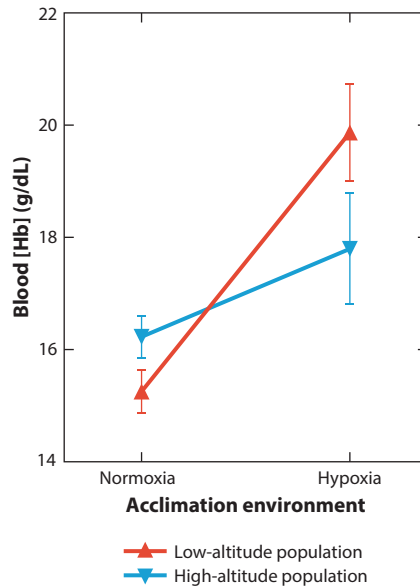
The above findings suggest that cogradient variation in  $\dot{V}O_{2\max}$  across altitudinal gradients arises from both plastic and evolved changes in individual steps of the O<sub>2</sub> transport pathway. Our work on high-altitude deer mice suggests that evolved increases in the capacity for O<sub>2</sub> diffusion (**Figure 4**) and O<sub>2</sub> utilization in locomotory muscle—a major site of O<sub>2</sub> demand during thermogenesis and exercise—contribute to increases in  $\dot{V}O_{2\max}$ . By contrast, it is less clear whether plasticity leads to any significant changes in these muscle phenotypes. Both plastic and evolved increases in the capacities for circulatory O<sub>2</sub> delivery and for pulmonary O<sub>2</sub> diffusion also appear to contribute to increasing  $\dot{V}O_{2\max}$  in high-altitude natives. These findings are largely consistent with predictions of theoretical models of the O<sub>2</sub> transport pathway (Scott & Milsom 2006, 2009; Wagner 1996), with the exception that increases in pulmonary ventilation do not contribute to increases in  $\dot{V}O_{2\max}$  in the limited number of studies that have addressed the issue in high-altitude humans. There may be trade-offs associated with increasing pulmonary ventilation that limit its adaptive value or otherwise limit its potential for evolutionary change.

### Counter-Gradient Patterns of Altitudinal Variation and the Attenuation of Maladaptive Plasticity

Many of the physiological adjustments to chronic hypoxia described above are thought to represent examples of adaptive plasticity because they contribute to enhanced physiological performance and fitness at high altitude (Swenson & Bärtsch 2014). However, some plastic responses to high-altitude hypoxia appear to be nonadaptive or even maladaptive. For example, some common responses to chronic hypoxia are clearly pathological and contribute to high-altitude diseases such as chronic mountain sickness in humans and brisket disease in cattle (Dempsey & Morgan 2015, Ivy & Scott 2015, Monge et al. 1992, Rhodes 2005, Storz et al. 2010b). High-altitude natives appear to have evolved mechanisms that attenuate many of the maladaptive responses to high-altitude hypoxia, leading to counter-gradient patterns of altitudinal variation for key phenotypes. Below we describe two well-documented examples of maladaptive plasticity in the O<sub>2</sub> transport system.

Hypoxic pulmonary hypertension (HPH), an especially serious malady at high altitude (Dempsey & Morgan 2015, Shimoda & Laurie 2014, Sylvester et al. 2012), occurs when pulmonary arteries constrict in response to hypoxia and then remodel, thicken, and become less distensible over time (Shimoda & Laurie 2014). The constriction of pulmonary vessels is beneficial at sea level for matching regional variation in ventilation and blood flow. Modest variation in ventilation and  $O_2$  levels throughout the lungs is normal, and the constriction or dilation of vessels in relation to  $O_2$  supply (ventilation–perfusion matching) enhances the efficacy of gas exchange across the air–blood interface. However, this constriction is counterproductive at high altitude because hypoxia occurs throughout the entirety of the lungs, and the global constriction of pulmonary arterial vessels initiates vascular changes that ultimately culminate in HPH (Dempsey & Morgan 2015, Shimoda & Laurie 2014, Sylvester et al. 2012). HPH can become life-threatening by impairing  $O_2$  uptake and causing pulmonary edema, right-ventricle hypertrophy, and heart failure (Sylvester et al. 2012). However, several high-altitude species have been shown to exhibit little to no HPH (Ge et al. 1998, Groves et al. 1993). High-altitude deer mice do not experience right-ventricle hypertrophy under chronic hypoxia and—in comparison with lowland mice subjected to the same conditions—they differentially express a number of genes that are known to be involved in cardiac hypertrophy (Velotta et al. 2018). The attenuation of HPH in high-altitude natives should prevent hypoxia-induced increases in blood pressure in pulmonary arteries, thereby minimizing the incidence of HPH and its pathological effects.

In humans and some other lowland mammals, the acclimatization response to severe hypoxia involves an increase in blood Hb concentration. This increase is initially caused by a reduction in plasma volume and is later followed by increased erythropoiesis (red blood cell production), stimulated by increased circulating levels of the hormone erythropoietin (Siebenmann et al. 2017). At face value, an augmentation of blood Hb concentration seems like a beneficial response to hypoxia because it increases the  $O_2$  carrying capacity of the blood. However, the associated increase in blood viscosity produces a higher peripheral vascular resistance that can compromise cardiac output and, hence,  $\dot{V}O_{2\max}$ . Among Tibetans at high altitude, exercise-induced  $\dot{V}O_{2\max}$  is negatively associated with blood Hb concentration (Simonson et al. 2015b, Wagner et al. 2015). Likewise, during human pregnancy at high altitude, increased blood Hb concentration is associated with increased risks of stillbirth, preterm birth, and reduced birth weight, all of which appear to stem from reduced blood flow in the uteroplacental circulation (Moore et al. 2011). Hypoxia-induced polycythemia also plays a well-documented role in the pathogenesis of chronic mountain sickness. It is therefore not surprising that many high-altitude natives do not exhibit constitutively elevated blood Hb concentrations (Beall & Reichsman 1984, Black & Tenney 1980, Lui et al. 2015, Storz et al. 2010b), owing to a blunted erythropoietic response to chronic hypoxia or a compensatory increase in plasma volume, thereby yielding a counter-gradient pattern of altitudinal variation. For example, when subject to chronic hypoxia, high-altitude deer mice exhibit a much less pronounced increase in Hb concentration in comparison with white-footed mice (*Peromyscus leucopus*), a closely related lowland species (**Figure 5**). Likewise, among Tibetan humans living at elevations of ~4,000 m, blood Hb concentrations are unexpectedly low and generally fall within the range that would be expected for people living at sea level. Evidence suggests that this blunted increase in Hb concentration in Tibetans is associated with a hyporesponsive hypoxia-inducible factor (HIF) pathway (Simonson et al. 2015a). Noncoding changes that alter the expression of genes in the HIF pathway such as *EPAS1* and *EGLN* may have recalibrated the homeostatic set point for the erythropoietic response to hypoxia. Alternatively, the reduced Hb concentration may represent a secondary consequence of genetically based changes in other traits related to ventilation, cardiovascular function, or muscle diffusion capacity that were brought about by regulatory changes in the HIF cascade (Storz 2010, Storz et al. 2010b, Wagner et al. 2015).



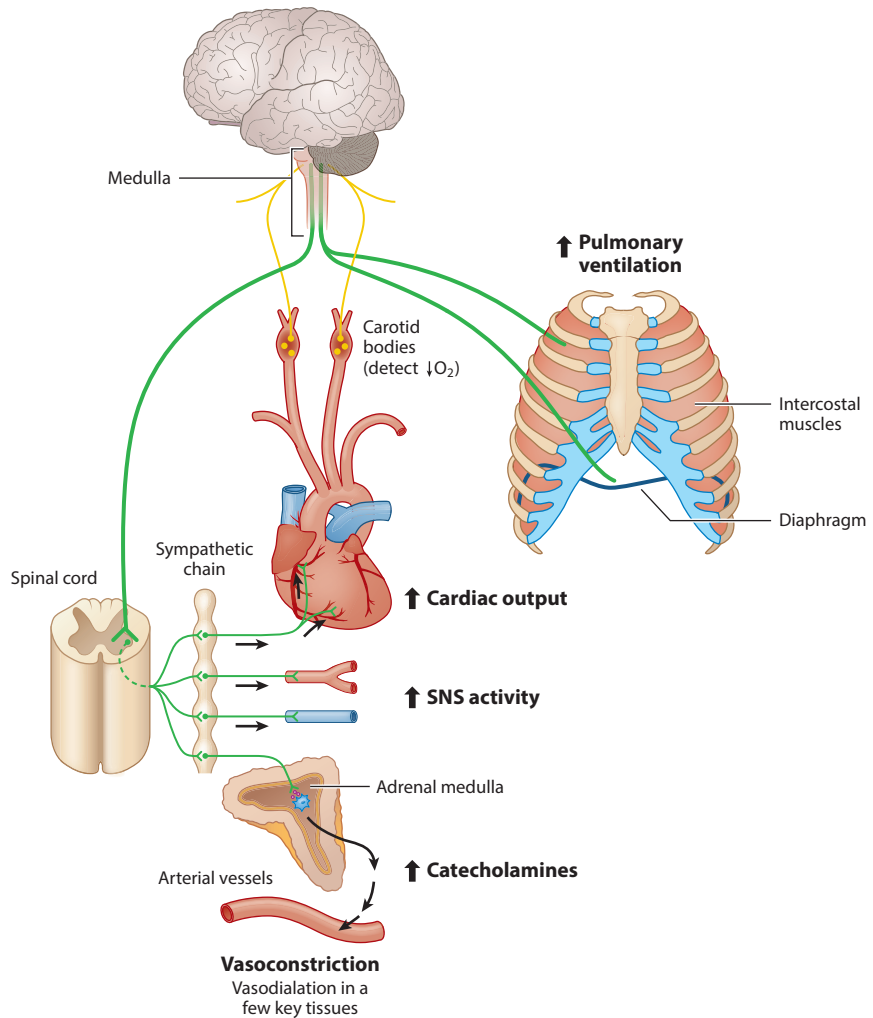
**Figure 5**

When subjected to the same degree of chronic hypoxia, high-altitude deer mice (*Peromyscus maniculatus*) exhibit a less-pronounced increase in blood hemoglobin (Hb) concentration relative to low-altitude white-footed mice (*Peromyscus leucopus*) reared under common conditions. Experimental tests revealed a significant main effect of acclimation environment ( $F_{1,64} = 26.9, P < 0.0001$ ) and a significant population  $\times$  environment interaction ( $F_{1,64} = 6.53, P = 0.013$ ) on blood Hb concentration but no overall main effect of population ( $F_{1,64} = 0.85, P = 0.360$ ) (C.M. Ivy & G.R. Scott, unpublished data). This empirical pattern approximates the theoretical pattern depicted in **Figure 1f**. Two-factor analysis of variance was used for statistical comparisons.

## Evolution of Plasticity in the Hypoxic Chemoreflex: Disentangling of a Physiological Control System at High Altitude

The physiological control systems that contribute to homeostasis are often complex, adjusting a range of flexible phenotypes to promote the dynamic stability of homeostatically regulated variables. For example, chemoreflexes are a class of reflexes that are initiated by chemoreceptors and that detect chemical stimuli, and the hypoxic chemoreflex is critical for regulating pulmonary  $O_2$  uptake and circulatory  $O_2$  transport in response to decreases in blood  $O_2$  levels (Ivy & Scott 2015, Kumar & Prabhakar 2012, Lindsey et al. 2018) (**Figure 6**). This reflex is initiated by reductions in the  $PO_2$  of arterial blood that are sensed by the carotid bodies, the body's key  $O_2$  chemoreceptors, which are located on the carotid arteries (Lopez-Barneo et al. 2016, Prabhakar & Peng 2017). The carotid bodies are innervated, and when stimulated, they send afferent information to the brain that triggers a recruitment of respiratory muscles and a corresponding increase in pulmonary ventilation termed the hypoxic ventilatory response (HVR) (Ivy & Scott 2015, Powell et al. 1998). Carotid body stimulation also activates the sympathetic nervous system (SNS) and leads to the release of catecholamines (epinephrine, norepinephrine, etc.) into the circulation from the adrenal medulla. This sympathoadrenal response to hypoxia has a significant effect on the circulation—increasing cardiac output, inducing vasoconstriction in many tissues, and increasing systemic vascular resistance—with the net effect being that blood flow is preferentially redistributed toward core hypoxia-sensitive organs such as the brain and the heart (Hainsworth & Drinkhill 2007, Ivy & Scott 2015). The sympathoadrenal response is critical to surviving acute





**Figure 6**

The hypoxic chemoreflex is a key control system regulating the ventilatory and circulatory responses to hypoxia. The carotid bodies detect a reduction in the partial pressure of O<sub>2</sub> ( $PO_2$ ) of arterial blood, which increases the neural output of afferent neurons (yellow) sending information to the brain. This alters the output of efferent neurons (green) that innervate the respiratory muscles (diaphragm and intercostal muscles), which leads to an increase in pulmonary ventilation and also activates preganglionic neurons of the sympathetic nervous system (SNS). The latter increases the activity of postganglionic SNS neurons and leads to the release of catecholamines (epinephrine, norepinephrine, etc.) into the circulation from the adrenal medulla (the inner tissue of the adrenal gland). This sympathoadrenal response to hypoxia increases cardiac output, and it induces vasoconstriction in many tissues, with the exception of some key hypoxia-sensitive organs (i.e., brain and heart) that experience vasodilation.

exposure to severe hypoxia (Seidler & Slotkin 1985, Slotkin & Seidler 1988), but it can become detrimental during chronic exposure to hypoxia at high altitude because it can result in increased blood pressure, increased cardiac workload, and impeded blood flow to some tissues (including the digestive and reproductive organs) (Bernardi et al. 1998, Calbet 2003, Hainsworth & Drinkhill 2007). Indeed, chronic hypoxia can lead to the long-term maintenance or enhancement of the

hypoxic chemoreflex. Ventilatory acclimatization to hypoxia (VAH) occurs after days to weeks of hypoxia exposure, leading to further increases in breathing that arise from increased hypoxia sensitivity of the carotid bodies (Pardal et al. 2007, Powell et al. 1998) and from increased sensitivity of the brain to the afferent signals from the carotid bodies (Pamenter & Powell 2016, Pamenter et al. 2014). Sympathoadrenal activation also persists with chronic exposure to hypoxia (Bernardi et al. 1998, Calbet 2003, Hainsworth & Drinkhill 2007). Therefore, the effects of chronic hypoxia on the hypoxic chemoreflex elicit some physiological responses that are beneficial to pulmonary O<sub>2</sub> uptake and tissue O<sub>2</sub> supply (e.g., increases in ventilation) but other responses (e.g., persistent sympathoadrenal activation) that may compromise O<sub>2</sub> supply to some tissues.

How has the hypoxic chemoreflex and its plasticity evolved in high-altitude natives? There would likely be trade-offs associated with changes to the entire reflex: Maintaining or augmenting the reflex should benefit pulmonary O<sub>2</sub> uptake, but blunting the effects of the reflex should attenuate the detrimental effects of chronic hypoxia on the cardiovascular system and on blood flow to some tissues. These trade-offs may explain why divergent changes in how the hypoxic chemoreflex controls breathing appear to have evolved in different high-altitude taxa. Pulmonary ventilation and the sensitivity of the HVR in some high-altitude mammals and birds are similar or enhanced compared with their low-altitude counterparts (Beall et al. 1997, Brutsaert 2007, Ivy et al. 2019, Moore 2000, Pichon et al. 2009, Scott & Milsom 2007) but are blunted in others (Brutsaert et al. 2005, Lague et al. 2017). Less is known about how the sympathoadrenal response to hypoxia has evolved, but some evidence suggests that the activation of the sympathoadrenal system in chronic hypoxia may be attenuated in high-altitude natives (Bernardi et al. 1998, Pichon et al. 2013, Scott et al. 2019). We have recently begun disentangling how the entire reflex has evolved in high-altitude deer mice. Our results suggest that a significant attenuation in the plasticity of the hypoxic chemoreflex in highlanders has evolved in conjunction with adjustments in breathing that help safeguard pulmonary O<sub>2</sub> uptake.

Chronic hypoxia leads to significant changes in breathing in lowland deer mice but not in highland mice. Lowland mice exhibit VAH, in which exposure to chronic hypoxia increases pulmonary ventilation and makes the breathing pattern more effective (higher tidal volumes and lower breathing frequencies at a given total ventilation), in association with improvements in arterial O<sub>2</sub> saturation under hypoxia (Ivy & Scott 2017a,b, 2018). VAH in lowland mice is associated with significant growth of the carotid bodies (Ivy & Scott 2017a), as occurs in other mammals (Pardal et al. 2007, Powell et al. 1998). In contrast, highland mice do not exhibit VAH, but they exhibit a fixed increase in breathing that is similar to hypoxia-acclimated lowlanders and maintain even higher arterial O<sub>2</sub> saturations under hypoxia (Ivy & Scott 2017a, 2018). High-altitude mice have evolved a fixed increase in alveolar ventilation and pulmonary O<sub>2</sub> uptake, consistent with genetic assimilation of the VAH response (a process whereby an environmentally induced phenotype becomes genetically encoded), but this has been achieved without a significant enlargement of the carotid bodies that is typical of VAH in lowlanders (Ivy & Scott 2017a). This latter finding is likely significant, because enlargement of the carotid bodies may increase the afferent signal to the brain that activates the sympathoadrenal system.

High-altitude deer mice have also evolved a significant reduction in catecholamine release from the adrenal medulla (Scott et al. 2019). The release of catecholamines in response to maximal stimulation (measured *in vitro* using carbon fiber amperometry on adrenal medulla slices) is much lower in highland deer mice compared with lowland conspecifics, which appeared to result from a reduction in cellular catecholamine synthesis. Furthermore, exposure to chronic hypoxia reduces the sensitivity of the adrenal medulla to stimulation in lowlanders, but chronic hypoxia has no effect on this trait in highlanders. Therefore, in addition to a potential reduction in the afferent signal to the brain from the carotid bodies, high-altitude deer mice have evolved a reduced capacity

to release catecholamines into the circulation in response to this afferent signal (Scott et al. 2019). This reduction in catecholamine release represents a potential mechanism for attenuating the maladaptive effects of chronic sympathoadrenal activation and should lead to a counter-gradient pattern of altitudinal variation.

High-altitude deer mice appear to have evolved changes in the hypoxic chemoreflex that have improved their ability to maintain O<sub>2</sub> homeostasis under chronic hypoxia. Within this complex system, we observe one regulated phenotype that enhances O<sub>2</sub> transport (increases in breathing and pulmonary O<sub>2</sub> uptake), which exhibits a pattern of genetic assimilation in highlanders, as well as the attenuation of another regulated phenotype (sympathoadrenal activation) that is believed to be maladaptive in chronic hypoxia. Chronic sympathetic activation represents a significant cost of the plasticity underlying VAH, and this cost is likely avoided by using a different mechanism to induce a fixed increase in breathing and pulmonary O<sub>2</sub> uptake. The fixed increase in breathing and pulmonary O<sub>2</sub> uptake should also mitigate potential costs of blunting sympathoadrenal activation by the hypoxic chemoreflex. Therefore, the fact that both beneficial and counterproductive changes can result from plasticity in a single physiological control system may influence how the plasticity in such systems evolve.

## **GLOBAL CLIMATE CHANGE, PHENOTYPIC PLASTICITY, AND ALTITUDINAL RANGE SHIFTS**

With warming temperatures, lowland species are expected to shift their elevational ranges upwards, potentially putting alpine and subalpine specialists at risk of competitive displacement. Prospects for the upslope advance of lowland species will depend critically on modes of acclimatization and adaptation to hypoxia. For cases in which phenotypic plasticity is adaptive, it seems reasonable to expect that acclimatization capacity should be a strong predictor of a species' colonization success. However, studies of hypoxia acclimatization in humans and other lowland mammals suggest ways in which plasticity may actually impede high-altitude adaptation. Research to date suggests the hypothesis that a critical component of high-altitude adaptation involves the evolution of mechanisms for short-circuiting maladaptive acclimatization responses that are elicited by chronic hypoxia.

## **DISCLOSURE STATEMENT**

The authors are not aware of any affiliations, memberships, funding, or financial holdings that might be perceived as affecting the objectivity of this review.

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